

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Yu et al.

Serial No.: 10/575,409

Art Unit: unassigned

Filed: 10/6/04

For: Method for encoding a
Digital Signal into a
Scalable Bitstream; Method
for Decoding a Scalable
Bitstream

Examiner: unassigned

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

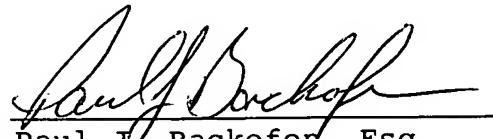
Enclosed please find Applicants' Information Disclosure
Statement.The US and foreign patent documents were cited in the
International Search Report that was presented at the filing of
this application in the United States. Each of the non-patent

Certificate of Mailing (37 CFR 1.10)I hereby certify that this response (along with any paper referred to as being attached or
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Date: January 17, 2007
Michelle Campero

references were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. Thus no fees are due. The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment, to Deposit Account 03-3700.

Date: January 17, 2007

By:



Paul J. Backofen
Paul J. Backofen, Esq.
Reg. No. 42278

LIST OF PRIOR ART CITED BY APPLICANT

(use several sheets if necessary)

APPLICANT

Yu et al.

FILING DATE

10/6/04

GROUP

unassigned

U. S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|---------|----------------|-------|----------|----------------------------|
| | AA | 2003/0171920 | 9/11/03 | Zhou et al. | 704 | 230 | |
| | AB | 2003/0163305 | 8/28/03 | Cheng et al. | 704 | 219 | |
| | AC | 6,446,037 | 9/3/02 | Fielder et al. | 704 | 229 | |
| | AD | 6,092,041 | 7/18/00 | Pan et al. | 704 | 229 | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION. YES NO |
|------------------|----|-----------------|---------|---------|-------|----------|------------------------|
| | AL | EP 1160769 | 12/5/01 | EP | | | |
| | AM | EP 817395 | 5/10/00 | EP | | | |

OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

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| | Jin et al., "A Heirarchical Lossless/Lossy Coding System for High Quality Audio up to 192 KHZ Sampling 24 Bit Format", IEEE Transactions in Consumer Electronics, vol. 49, no. 3, August 2003. Pages 759-764. |
| | Geiger et al., "Fine Grain Scalable Perceptual and Lossless Audio Coding Based on INTMDCT", 2003 IEEE Internationa Conference on Acoustics, Speech, and Signal Prosessing. Proceedings, vol 1 of 6, April 6, 2003. Pages V445-V448 |
| | Weiping Li, "Overview of Fine Granularity Scalability in MPEG-4 Video Standard", IEEE Transactions on Circuits and Systems for Video Technology, vol. 11, no. 3, March 2001 |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant